#### **CLAIMS**

## 1. A compound of formula (I)

$$R_1$$
 $X$ 
 $N$ 
 $R_3$ 
 $R_3$ 
 $R_3$ 

wherein in the formula, X is N or C;

the dotted line represents a single or a double bond;

### $10 R_1$ is:

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a straight or branched alkyl chain having 1 to 10 carbon atoms unsubstituted or substituted with 1 to 3 substituent(s) each independently being COOR<sup>4</sup>, COR<sup>4</sup>, CR<sup>4</sup>(OR<sup>5</sup>)<sub>2</sub>, COCH<sub>2</sub>OR<sup>6</sup>, cyano, hydroxy, oxo, halogen, lower alkoxy, aryl, aryloxy, aryl lower alkoxy, nitro, amino, lower alkyl amino, aryl amino, aryl lower alkyl amino, cycloalkyl or heterocycle, wherein R<sup>4</sup> is H, lower alkyl, lower alkenyl, cycloalkyl, cycloalkenyl, heterocycle, aryl or aralkyl, R<sup>5</sup> is lower alkyl, lower alkenyl, cycloalkyl, cycloalkenyl, aryl or aralkyl and R<sup>6</sup> is H, lower alkyl, lower acyl or halogen,

a straight or branched alkenyl chain having 2 to 10 carbon atoms unsubstituted or substituted with 1 to 3 substituent(s) as defined for the alkyl group above,

a 3 to 7 membered, saturated or unsaturated, carbocyclic ring unsubstituted or substituted with 1 to 3 substituent(s) each independently being lower alkyl or as defined for the alkyl group above,

a 3 to 7 membered, saturated or unsaturated, heterocyclic ring unsubstituted or substituted with 1 to 3 substituent(s) each independently being lower alkyl or as defined

for the alkyl group above,

a substituted or unsubstituted alkyl or alkenyl group as defined above incorporating as a group member a substituted or unsubstituted carbocyclic ring or a heterocyclic ring as defined above,

hydroxy, lower alkoxy, aryloxy, aryl lower alkoxy, amino, amino lower alkyl, lower alkyl amino, aryl amino or aryl lower alkyl amino, wherein the said alkyl, aryl or amino subgroups are unsubstituted or substituted with 1 to 3 substituent(s) each independently being lower alkyl or as defined for the alkyl group above;

R<sub>2</sub> is:

H,

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a straight or branched alkyl chain having 1 to 10 carbon atoms unsubstituted or substituted with 1 to 3 substituent(s) each independently being hydroxy, oxo, lower alkoxy, amino, lower alkyl amino, halogen, carboxyl or lower acyl,

a straight or branched alkenyl chain having 2 to 10 carbon atoms unsubstituted or substituted with 1 to 3 substituent(s) as defined for the alkyl group, in the meaning of R<sub>2</sub>, above,

or a straight or branched alkynyl chain having 2 to 10 carbon atoms unsubstituted or substituted with 1 to 3 substituent(s) as defined for the alkyl group, in the meaning of R<sub>2</sub>, above;

R<sub>3</sub> is:

30 H, cyano, hydroxy, oxo, halogen, lower alkyl, lower alkoxy, aryl, aryloxy, aryl lower alkoxy, amino, lower alkyl amino, aryl amino, aryl lower alkyl amino, cycloalkyl or heterocycle, wherein the said alkyl subgroups are unsubstituted or substituted with 1 to 3 substituent(s) as defined for the alkyl group, in the meaning of R<sub>1</sub>, above,

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or R<sub>3</sub> is COOR<sup>4</sup>, COR<sup>4</sup>, CR<sup>4</sup>(OR<sup>5</sup>)<sub>2</sub> or COCH<sub>2</sub>OR<sup>6</sup>, wherein R<sup>4</sup> is H, lower alkyl, lower alkenyl, cycloalkyl, cycloalkenyl, heterocycle, aryl, amino, lower alkyl amino, aryl amino or lower alkyl amino, wherein the said lower alkyl is unsubstituted or substituted with 1 or 2 substituent(s) each independently being cyano, hydroxy, oxo, halogen, lower alkoxy, aryl, aryloxy, aryl lower alkoxy, amino, lower alkyl amino, aryl amino, aryl lower alkyl amino, cycloalkyl or heterocycle, R<sup>5</sup> is lower alkyl, lower alkenyl, cycloalkyl, cycloalkyl, aryl or aralkyl and R<sup>6</sup> is lower acyl or halogen;

### 10 provided, that

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- a) when X is N, the dotted line represents a single bond and R<sub>2</sub> is not H;
- b) when X is C, the dotted line represents a double bond and R<sub>2</sub> is H; or a pharmaceutically acceptable salt or ester thereof.
- 15 2. A compound according to claim 1, wherein

X is N;

the dotted line represents a single bond;

R<sub>1</sub> is:

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a straight or branched alkyl chain having 1 to 10 carbon atoms unsubstituted or substituted with 1 to 3 substituent(s) each independently being COOR<sup>4</sup>, COR<sup>4</sup>, CR<sup>4</sup>(OR<sup>5</sup>)<sub>2</sub>, COCH<sub>2</sub>OR<sup>6</sup>, cyano, hydroxy, oxo, halogen, lower alkoxy, aryl, aryloxy, aryl lower alkoxy, nitro, amino, lower alkyl amino, aryl amino, aryl lower alkyl amino, cycloalkyl or heterocycle, wherein R<sup>4</sup> is H, lower alkyl, lower alkenyl, cycloalkyl, cycloalkenyl, heterocycle, aryl or aralkyl, R<sup>5</sup> is lower alkyl, lower alkenyl, cycloalkyl, cycloalkenyl, aryl or aralkyl and R<sup>6</sup> is H, lower alkyl, lower acyl or halogen,

a straight or branched alkenyl chain having 2 to 10 carbon atoms unsubstituted or substituted with 1 to 3 substituent(s) as defined for the alkyl group above,

a 3 to 7 membered, saturated or unsaturated, carbocyclic ring unsubstituted or substituted with 1 to 3 substituent(s) each independently being lower alkyl or as defined for the alkyl group above,

- a 3 to 7 membered, saturated or unsaturated, heterocyclic ring unsubstituted or substituted with 1 to 3 substituent(s) each independently being lower alkyl or as defined for the alkyl group above,
- a substituted or unsubstituted alkyl or alkenyl group as defined above incorporating as a group member a substituted or unsubstituted carbocyclic ring or a heterocyclic ring as defined above,

hydroxy, lower alkoxy, aryloxy, aryl lower alkoxy, amino, amino lower alkyl, lower alkyl amino, aryl amino or aryl lower alkyl amino, wherein the said alkyl, aryl or amino subgroups are unsubstituted or substituted with 1 to 3 substituent(s) each independently being lower alkyl or as defined for the alkyl group above;

R<sub>2</sub> is:

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- a straight or branched alkyl chain having 1 to 10 carbon atoms unsubstituted or substituted with 1 to 3 substituent(s) each independently being hydroxy, oxo, lower alkoxy, amino, lower alkyl amino, halogen, carboxyl or lower acyl,
- a straight or branched alkenyl chain having 2 to 10 carbon atoms unsubstituted or substituted with 1 to 3 substituent(s) as defined for the alkyl group, in the meaning of R<sub>2</sub>, above,

or a straight or branched alkynyl chain having 2 to 10 carbon atoms unsubstituted or substituted with 1 to 3 substituent(s) as defined for the alkyl group, in the meaning of R<sub>2</sub>, above;

R<sub>3</sub> is:

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H, cyano, hydroxy, oxo, halogen, lower alkyl, lower alkoxy, aryl, aryloxy, aryl lower alkoxy, amino, lower alkyl amino, aryl amino, aryl lower alkyl amino, cycloalkyl or heterocycle, wherein the said alkyl subgroups are unsubstituted or substituted with 1 to 3 substituent(s) as defined for the alkyl group, in the meaning of  $R_1$ , above,

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or R<sub>3</sub> is COOR<sup>4</sup>, COR<sup>4</sup>, CR<sup>4</sup>(OR<sup>5</sup>)<sub>2</sub> or COCH<sub>2</sub>OR<sup>6</sup>, wherein R<sup>4</sup> is H, lower alkyl, lower alkenyl, cycloalkyl, cycloalkenyl, heterocycle, aryl, amino, lower alkyl amino, aryl amino or lower alkyl amino, wherein the said lower alkyl is unsubstituted or substituted with 1 or 2 substituent(s) each independently being cyano, hydroxy, oxo, halogen, lower alkoxy, aryl, aryloxy, aryl lower alkoxy, amino, lower alkyl amino, aryl amino, aryl lower alkyl amino, cycloalkyl or heterocycle, R<sup>5</sup> is lower alkyl, lower alkenyl, cycloalkyl, cycloalkenyl, aryl or aralkyl and R<sup>6</sup> is lower acyl or halogen, or a pharmaceutically acceptable salt or ester thereof.

## 15 3. A compound according to claim 2, wherein

 $R_1$  is

a straight or branched alkyl chain having 1 to 5 carbon atoms unsubstituted or substituted with 1 or 2 substituent(s) each independently being hydroxy, halogen, lower alkoxy, aryl, aryloxy, aryl lower alkoxy, amino, lower alkyl amino, aryl amino, aryl lower alkyl amino, cycloalkyl or heterocycle,

- a 3 to 7 membered, saturated or unsaturated, carbocyclic ring unsubstituted or substituted with 1 or 2 substituent(s) each independently being lower alkyl or as defined for the alkyl group above,
- 25 a 3 to 7 membered, saturated or unsaturated, heterocyclic ring unsubstituted or substituted with 1 or 2 substituent(s) each independently being lower alkyl or as defined for the alkyl group above,
  - a substituted or unsubstituted alkyl or alkenyl group as defined above incorporating as a group member a substituted or unsubstituted carbocyclic ring or a heterocyclic ring as defined above,

hydroxy, lower alkoxy, aryloxy, aryl lower alkoxy, amino, amino lower alkyl, lower alkyl amino, aryl amino or aryl lower alkyl amino, wherein the said alkyl, aryl or amino subgroups are unsubstituted or substituted with 1 to 3 substituent(s) each independently

being lower alkyl or as defined for the alkyl group above;

R<sub>2</sub> is

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a straight or branched alkyl chain having 1 to 5 carbon atoms unsubstituted or substituted with 1 or 2 substituent(s) each independently being hydroxy, oxo, lower alkoxy, amino, lower alkyl amino, halogen, carboxyl or lower acyl;

R<sub>3</sub> is:

H, cyano or COR<sup>4</sup>, wherein R<sup>4</sup> is H, lower alkyl, cycloalkyl, cycloalkenyl, heterocycle or aryl, wherein the said lower alkyl is unsubstituted or substituted with 1 or 2 substituent(s) each independently being hydroxy, oxo, halogen, lower alkoxy, aryl, aryloxy, aryl lower alkoxy, cycloalkyl or heterocycle.

4. A compound according to any one of claims 2 or 3, wherein

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R<sub>1</sub> is

a straight alkyl chain having 1 to 3 carbon atoms unsubstituted or substituted with 1 or 2 substituent(s) each independently being aryl, aryloxy, aryl lower alkoxy, lower alkyl amino, aryl amino, aryl lower alkyl amino, cycloalkyl or heterocycle,

a 3 to 7 membered, saturated or unsaturated, unsubstituted heterocyclic ring, lower alkoxy, lower alkyl amino, aryl amino or aryl lower alkyl amino;

R<sub>2</sub> is a straight or branched unsubstituted alkyl chain having 1 to 4 carbon atoms;

25 R<sub>3</sub> is:

H, cyano or COR<sup>4</sup>, wherein R<sup>4</sup> is H or lower alkyl, wherein the said lower alkyl is unsubstituted or substituted with hydroxy.

5. A compound according to claim 1, wherein

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X is C;

the dotted line represents a double bond;

R<sub>1</sub> is:

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a straight or branched alkyl chain having 1 to 10 carbon atoms unsubstituted or substituted with 1 to 3 substituent(s) each independently being COOR<sup>4</sup>, COR<sup>4</sup>, CR<sup>4</sup>(OR<sup>5</sup>)<sub>2</sub>, COCH<sub>2</sub>OR<sup>6</sup>, cyano, hydroxy, oxo, halogen, lower alkoxy, aryl, aryloxy, aryl lower alkoxy, nitro, amino, lower alkyl amino, aryl amino, aryl lower alkyl amino, cycloalkyl or heterocycle, wherein R<sup>4</sup> is H, lower alkyl, lower alkenyl, cycloalkyl, cycloalkenyl, heterocycle, aryl or aralkyl, R<sup>5</sup> is lower alkyl, lower alkenyl, cycloalkyl, cycloalkenyl, aryl or aralkyl and R<sup>6</sup> is H, lower alkyl, lower acyl or halogen,

a straight or branched alkenyl chain having 2 to 10 carbon atoms unsubstituted or substituted with 1 to 3 substituent(s) as defined for the alkyl group above,

a 3 to 7 membered, saturated or unsaturated, carbocyclic ring unsubstituted or substituted with 1 to 3 substituent(s) each independently being lower alkyl or as defined for the alkyl group above,

a 3 to 7 membered, saturated or unsaturated, heterocyclic ring unsubstituted or substituted with 1 to 3 substituent(s) each independently being lower alkyl or as defined for the alkyl group above,

a substituted or unsubstituted alkyl or alkenyl group as defined above incorporating as a group member a substituted or unsubstituted carbocyclic ring or a heterocyclic ring as defined above,

hydroxy, lower alkoxy, aryloxy, aryl lower alkoxy, amino, amino lower alkyl, lower alkyl amino, aryl amino or aryl lower alkyl amino, wherein the said alkyl, aryl or amino subgroups are unsubstituted or substituted with 1 to 3 substituent(s) each independently being lower alkyl or as defined for the alkyl group above;

R<sub>2</sub> is H;

R<sub>3</sub> is:

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H, cyano, hydroxy, oxo, halogen, lower alkyl, lower alkoxy, aryl, aryloxy, aryl lower alkoxy, amino, lower alkyl amino, aryl amino, aryl lower alkyl amino, cycloalkyl or heterocycle, wherein the said alkyl subgroups are unsubstituted or substituted with 1 to 3 substituent(s) as defined for the alkyl group, in the meaning of R<sub>1</sub>, above,

or R<sub>3</sub> is COOR<sup>4</sup>, COR<sup>4</sup>, CR<sup>4</sup>(OR<sup>5</sup>)<sub>2</sub> or COCH<sub>2</sub>OR<sup>6</sup>, wherein R<sup>4</sup> is H, lower alkyl, lower alkenyl, cycloalkyl, cycloalkenyl, heterocycle, aryl, amino, lower alkyl amino, aryl amino or lower alkyl amino, wherein the said lower alkyl is unsubstituted or substituted with 1 10 or 2 substituent(s) each independently being cyano, hydroxy, oxo, halogen, lower alkoxy, aryl, aryloxy, aryl lower alkoxy, amino, lower alkyl amino, aryl amino, aryl lower alkyl amino, cycloalkyl or heterocycle, R<sup>5</sup> is lower alkyl, lower alkenyl, cycloalkyl, cycloalkenyl, aryl or aralkyl and R<sup>6</sup> is lower acyl or halogen, or a pharmaceutically acceptable salt or ester thereof.

# 6. A compound according to claim 5, wherein

R<sub>1</sub> is

- a straight or branched alkyl chain having 1 to 5 carbon atoms unsubstituted or substituted 20 with 1 or 2 substituent(s) each independently being hydroxy, halogen, lower alkoxy, aryl, aryloxy, aryl lower alkoxy, amino, lower alkyl amino, aryl amino, aryl lower alkyl amino, cycloalkyl or heterocycle,
- a 3 to 7 membered, saturated or unsaturated, carbocyclic ring unsubstituted or substituted with 1 or 2 substituent(s) each independently being lower alkyl or as defined for the alkyl 25 group above,
  - a 3 to 7 membered, saturated or unsaturated, heterocyclic ring unsubstituted or substituted with 1 or 2 substituent(s) each independently being lower alkyl or as defined for the alkyl group above,
- a substituted or unsubstituted alkyl or alkenyl group as defined above incorporating as a 30 group member a substituted or unsubstituted carbocyclic ring or a heterocyclic ring as defined above,
  - hydroxy, lower alkoxy, aryloxy, aryl lower alkoxy, amino, amino lower alkyl, lower alkyl

amino, aryl amino or aryl lower alkyl amino, wherein the said alkyl, aryl or amino subgroups are unsubstituted or substituted with 1 to 3 substituent(s) each independently being lower alkyl or as defined for the alkyl group above;

5 R<sub>3</sub> is:

H, cyano or COR<sup>4</sup>, wherein R<sup>4</sup> is H, lower alkyl, cycloalkyl, cycloalkenyl, heterocycle or aryl, wherein the said lower alkyl is unsubstituted or substituted with 1 or 2 substituent(s) each independently being hydroxy, oxo, halogen, lower alkoxy, aryl, aryloxy, aryl lower alkoxy, cycloalkyl or heterocycle.

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7. A compound according to any one of claims 5 or 6, wherein

R<sub>1</sub> is

a straight or branched alkyl chain having 1 to 3 carbon atoms unsubstituted or substituted
with 1 or 2 substituent(s) each independently being, aryl, aryloxy, aryl lower alkoxy,
lower alkyl amino, aryl amino, aryl lower alkyl amino, cycloalkyl or heterocycle,
a 3 to 7 membered, saturated or unsaturated, unsubstituted heterocyclic ring,
lower alkoxy, amino lower alkyl, lower alkyl amino, aryl amino or aryl lower alkyl
amino, wherein the amino subgroups are unsubstituted or substituted with lower alkyl;

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R<sub>3</sub> is:

H, cyano or COR<sup>4</sup>, wherein R<sup>4</sup> is H or lower alkyl, wherein the said lower alkyl is unsubstituted or substituted with hydroxy.

- 8. A pharmaceutical composition comprising at least one compound of formula (I) according to any one of claims 1 to 7 and a pharmaceutically acceptable diluent, carrier and/or excipient.
- 9. A compound of formula (I) according to any one of claims 1 to 7 for use as a prolyl oligopeptidase inhibitor.
  - 10. The use of a compound of formula (I) or a pharmaceutically acceptable ester or salt thereof according to any one of claims 1 to 7 for the manufacture of a medicament for

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use as a prolyl oligopeptidase inhibitor.

- 11. The use of a compound of formula (I) according to any one of claims 1 to 7 for the manufacture of a medicament for the treatment of neurodegenerative diseases, and/or for the improvement of learning and memory functions.
- 12. The use according to claim 11, wherein the neurodegenerative disease is Alzheimer's disease or senile dementia.
- 13. A method for the treatment of a disease or the enhancement of a condition where prolyl oligopeptidase inhibitors are indicated to be useful, which comprises administering to a subject in need of the treatment an effective amount of at least one compound of formula (I) according to claim 1.
- 15 14. The method according to claim 13, which comprises treating a neurodegenerative disease, and/or improving learning and memory functions.
  - 15. The method according to claim 14, wherein the neurodegenerative disease is Alzheimer's disease or senile dementia.